Substitute for form 1449A/PTO				Complete if Known			
				Application Number	not yet assigned	a,	
INFOR	MATION	DISCLO	DSURE	Filing Date	herewith	999	
STATE	EMENT E	BY APPL	ICANT	First Named Inventor	KEIL et al.	7008	
	•			Group Art Unit	not yet assigned	107	
(us	se as many she	ets as necess	ary)	Examiner Name	not yet assigned		
Sheet	1	of	6	Attorney Docket Number	B01.003		

	•			U.S. PATENT DOCUMEN	TS	<u>_</u>
Examiner Initials*	Cite No.'	U.S. Patent Doo	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Α	6,012,051		Sammon, Jr. et al.	01-04-2000	
(KAC	В	6,134,532		Lazarus et al.	10-17-2000	
THE X	-C	6,236,978 B1		Tuzhilin	05-22-2001	
		•				
						-
			1			
						, , ,
		•				
				•		
			-			
	•	•	1			
		· · · · · ·	<b>T</b>		<u> </u>	
			-			
			<del> </del>			

	,		•	FOREIGN	PATENT DOCUMENTS			
			Foreign Patent Docu			Date of Publication of	Pages, Columns, Lines,	Τ.
	Cite No.	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T°
	·							
							١	П
•								
		·						T
					•_			†
							<u></u>	

Examiner Date Signature Considered

\*EXAMINER: Initial if reference considered, whether or not clation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next conformal to applicant.

\*Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>8</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>9</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute f	or form 1449A/PTO			Complete if Known		
	•			Application Number	Not yet assigned	
INFO	RMATION D	ISCL	OSURE	Filing Date	herewith	
STAT	EMENT BY	APP	LICANT	First Named Inventor	KEIL et al.	
				Group Art Unit	Not yet assigned	
(u	ise as many sheets a	as nece	ssary)	Examiner Name		
Sheet	2 ·	,of	. 6 ,	Attorney Docket Number	B01.003	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
B	D.	"The ACA/HB Module for Hierarchical Bayes Estimation", Sawtooth Software, Inc. (August, 1999)	
	Е	Magidson, Jay, and Vermunt, Jeroen K., "Latent Class Models"	
	F	"The CBC Latent Class Technical Paper", Copyright 2000, Sawtooth Software, Inc.	
	G	Acatech, "Adaptive Conjoint Analysis" (Version 4), Copyright Sawtooth Software, Inc. (April 1993)	
	н	McCullough, Dick, "Trade-off Analysis": A Survey of Commercially Available Techniques	
	I	Srinivasan, V. and Su Park, Chan "Surprising Robustness of the Self-Explicated Approach to Customer Preference Structure Measurement," <i>Journal of Marketing Research</i> , Vol. XXXIV (May 1997), 286-291	
	j	Wittink, Dick R. and Keil, Sev K. "Continuous Conjoint Analysis"	
	K	Huber, Joel, "What We Have Learned from 20 Years of Conjoint Research": When to use Self-Explicated, Graded Pairs, Full Profiles or Choice Experiments	
R	L	2001 Conference Presentations Set (Spring 2001), Sawtooth Solutions	

Examine Considered 7-18-56	 	<u> </u>		 	
	$I - I \circ$	* SMA	Canty	 1/-19-06	•

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute	for form 1449A/PTO			Complete if Known			
			•	Application Number	Not yet assigned		
INFO	RMATION D	ISC	LOSURE	Filing Date	Herewith		
STA	<b>TEMENT BY</b>	AP	PLICANT	First Named Inventor	KEIL et al.		
	į			Group Art Unit	Not yet assigned		
(	(use as many sheets	as ned	essary)	Examiner Name			
Sheet	Sheet 3 Of 6		Attorney Docket Number	B01.003			

	•	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-Issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
20	М	Orme, Bryan "Helping Managers Understand the Value of Conjoint", Copyright, Sawtooth Software, 1996	
	N	Pinnell, Jon and Olsen, Pam "Using Choice-Based Conjoint To Assess Brand Strength and Price Sensitivity", Copyright 1996, Sawtooth Software	
	0	Pinnell, Jon (1994) "Multistage Conjoint Methods to Measure Price Sensitivity"	
	P	Dawson, Neal V. (91-94) "A Model of Patients' Preferences in Serious Illness", http://www.ahcpr.gov/clinic/medteprp/list8.htm, (Download date 3/30/01)	
	Q	Marketswitch: "Our Industry", http://www.marketswitch.com/products/industry.html, (Download date 3/26/01)	
	R	Kanninen, Barbara, K. (1/1/00 – 6/30/02) "Optimal Experimental Design for Nonmarket Choice Experiments", <a href="http://www.es.epa.gov/ncerga_abstracts/grants/99/deci/kanninen.html">http://www.es.epa.gov/ncerga_abstracts/grants/99/deci/kanninen.html</a> , (Download dated 4/11/01)	
	S	Rollins, Kimberly and Beckett, Alexandra, "Using a Random Utility Model to Measure Willingness to Pay for Public Attributes of Green Goods: Implications for Market Provision of Environmental Quality", International Institute of Fisheries Economics and Trade, Microbehavior and Macroresults IIFET 2000, <a href="http://www.orst.edu/Dept/IIFET/2000/abstracts/rollins.html">http://www.orst.edu/Dept/IIFET/2000/abstracts/rollins.html</a> (Download date 4/11/01)	
	Т	Ardila, Sergio, Quiroga, Ricardo and Vaughan, William J. (12/98, ENV-126, E) Publications "A Review of the Use of Contingent Valuation Methods in Project Analysis at the Inter-American Development Bank", <a href="http://www.iadb.org/sds/publication/publication_178_e.htm">http://www.iadb.org/sds/publication/publication_178_e.htm</a> (Download date 4/11/01)	
Pà	U	Understanding Market Segmentation, Technical Paper From DDS Research, <a href="http://www.dssearch.com/marketsegment/Library/Segment/understanding.asp">http://www.dssearch.com/marketsegment/Library/Segment/understanding.asp</a>	

://	/		
Examiner Signature	Komain	Hants	Date 7-18-2006

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Substitute	for form 1449A/PTO			Complete if Known			
				Application Number	Not yet assigned		
INFO	RMATION D	ISC	LOSURE	Filing Date	Herewith		
STAT	TEMENT BY	AP	PLICANT	First Named Inventor	KEIL et al.		
				Group Art Unit	Not yet assigned		
- (	(use as many sheets a	as ned	essary)	Examiner Name	•		
Sheet	Sheet 4 of 6		Attorney Docket Number	B01.003			

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials* -	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journel, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
Ro	v	Tyner, Mary Jane and Weiner, Jonathan – MACRO – "Optimal Pricing Strategies Through Conjoint Analysis", <a href="http://www.macroinc.com/html/art/s_opt.html">http://www.macroinc.com/html/art/s_opt.html</a> (Download date 4/10/01)						
	w	"Customer Targeting Models", Opti-Market Consulting, <a href="http://www.opti-market.com/targeting.htm">http://www.opti-market.com/targeting.htm</a> (Download date 4/10/01)						
	х	Johnson, Chad, Methodologies and Capabilities: "Market simulation software becomes smarter all the time", Answers Research, Inc. <a href="http://www.answersresearch.com/methodologies/article5.html">http://www.answersresearch.com/methodologies/article5.html</a> (Download date 4/10/01)						
	Y	Market Research Application Example, "Conjoint Analysis: The Pressure", Statistics & Operations Research, <a href="http://www.sas.com/rnd/app/da/market/mraexsim.html">http://www.sas.com/rnd/app/da/market/mraexsim.html</a> (Download date 4/10/01)						
	Z	"Research Triangle Institute: Conjoint Analysis Software Tools", <a href="http://www.rti.org/difference/conjoint_tools.cfm">http://www.rti.org/difference/conjoint_tools.cfm</a> (Download date 4/10/01)						
	AA	Decision Support Inc., A Full Service Market Research & Consulting Firm, "Services Offered" <a href="http://www.decisionsupportinc.com/services.html">http://www.decisionsupportinc.com/services.html</a> (Download date 4/10/01)						
	ВВ	Landsend.com, "My Personal Shopper: Like having a personal wardrobe"  http://www.landsend.com/vpsEntry.cgi?mode=GRAPHIC&refer=c/&sid=098702323344  (Download date 4/11/01)						
	СС	Direct1.com "About Direct1" http://www.direct1.com/company/whatdowedo.html (Download date 4/3/01)						
Par	DD	Discretechoice.com "White Papers: Sample Discrete Choice Analysis", <a href="http://www.discretechoice.com/analysis.html">http://www.discretechoice.com/analysis.html</a> (Download date 1/2/01)						

Examiner Signature	 Komain	Jeanty	•	•	Date Considered	7-18-00	) ·

\*EXAMINER: Initial if reference considered, whether or not cliation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Unique citation designation number. \*Applicant is to place a check mark here if English language Translation is attached.

Substitute	for form 1449A/PTO			Complet	e if Known	
				Application Number	Not yet assigned	
INFO	RMATION D	ISC	LOSURE	Filing Date	Herewith	
STAT	<b>TEMENT BY</b>	AP	PLICANT	First Named Inventor	KEIL et al.	
				Group Art Unit	Not yet assigned	
	(use as many sheets a	as ned	cessary)	Examiner Name		
Sheet	5	of	6	Attorney Docket Number	B01.003	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	tnctude name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), votume-issue number(s), publisher, city and/or country where published.	T²
B	EE	Minetheme Knowledge Bank "Information: that Inspires", http://www.mindtheme.com/knowledge/trst.asp (Download date 4/10/01)	
V	FF	Dominator 2000 <sup>TM</sup> Market Simulation Model From DSS Research, "Dominator 2000 <sup>TM</sup> <a href="http://www.dssresearch.com/library/Dominator/dominator.asp">http://www.dssresearch.com/library/Dominator/dominator.asp</a> (Download date 4/10/01)	
	GG	Conjoint Analysis Tools, <a href="http://www.whitehorse.com.au/~prosoft/statist.htm">http://www.whitehorse.com.au/~prosoft/statist.htm</a> (Download date 2/14/01)	
	нн	Johnson, Richard. M., Sawtooth Technologies: "Trade-Off Analysis of Consumer Values" reprinted from Journal of Marketing Research, published by the American Marketing Association, Vol. 11 (May 1974), pp. 121-127, <a href="http://www.sawtooth.com/news/library/articles/johnson.htm">http://www.sawtooth.com/news/library/articles/johnson.htm</a> (Download date 2/14/01)	
	11	McCullough, Dick "The Cake Method: A Proprietary Hybrid Conjoint Approach", Macro Consulting, Inc., <a href="http://www.macroinc.com/html/art/s_cak.html">http://www.macroinc.com/html/art/s_cak.html</a> (Download date 12/6/00)	
	LL	ACA, "Adaptive Conjoint Analysis", <a href="http://www.sawtoothsoftware.com/aca.shtml">http://www.sawtoothsoftware.com/aca.shtml</a> (Download date 2/14/01)	
	ММ	"Analysis: Conjoint Case Example From DSS Research – Marketing Research For", <a href="http://www.dssresearch.com/conjoint/CaseExample/Analysis.asp">http://www.dssresearch.com/conjoint/CaseExample/Analysis.asp</a> (Download date 4/2/01)	
	NN	'A Review of Conjoint Analysis, Technical Paper from DSS Research: "Understanding Conjoint Analysis", <a href="http://www.dssresearch.com/conjoint/Library/Conjoint/conjoint.asp">http://www.dssresearch.com/conjoint/Library/Conjoint/conjoint.asp</a> (Download date 4/2/01)	
D)	00	Novak, Tom "Online Exercises: Design Your Own Movie Theater Using Conjoint Analysis", Interactive Exercises, http://www2000.ogsm.vanderbilt.edu/novak/conjoint-Movies/ (Download date 4/11/01)	

Examiner Commun lawy Date Considered 7-18-06

<sup>\*</sup>EXAMINER: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for	or form 1449A/P	το		Complete if Known		
-				Application Number	Not yet assigned	
INFO	RMATION	DISC	LOSURE	Filing Date	Herewith	
STAT	EMENT B	Y API	PLICANT	First Named Inventor	KEIL et al.	
		•		Group Art Unit	Not yet assigned	
(u	se as many shee	ets as nec	essary)	Examiner Name		
Sheet	6	of	6	Attorney Docket Number	B01.003	

			OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examine Initials*	Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
1	R	PP	Mangen Research Associates, Inc., (1999) "Introduction to Conjoint Analysis", <a href="http://www.mrainc.com/conj_intro.html">http://www.mrainc.com/conj_intro.html</a> (Download date 2/14/01)						
	0	QQ	Mangen Research Associates, Inc. (1999) "Correlation of Estimates – Conjoint Analysis", <a href="http://www.inrainc.com/estimate_conj.html">http://www.inrainc.com/estimate_conj.html</a> (Download date 1/2/01)						
		RR	Online Insight – Thanks for your time, file://D:\StartHere.html (Download date 12/18/00)						
•		SS	eBranding Study: Oneline Insight & jAccenture – "What is Conjoint?", <a href="http://www.onlineinsight.com/ebranding/conjoint.html">http://www.onlineinsight.com/ebranding/conjoint.html</a> (Download date 4/27/01)						
()	7	TT	Paul, Lauren, "1 to 1 Marketer", publish 3/1/01, <a href="http://www.1to1.com/Building/CustomerRelationships/entry.jsp?REQUESTED_URL">http://www.1to1.com/Building/CustomerRelationships/entry.jsp?REQUESTED_URL</a> (Download date 5/31/01)						
(									

	·		
Examiner Signature	omain learty	Date Considered	7-18-06

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Unique citation designation number. \*Applicant is to place a check mark here if English language Translation is attached:



Examiner

Signature

omain

Subsidiar	for form 1449A/P	то		Complet	te if Known
		-		Application Number	
INFO	<b>RMATION</b>	DISCL	OSURE	Filing Date	November 5, 2001
STAT	STATEMENT BY APPLICANT			First Named Inventor	KEIL, Sev K. H.
				Group Art Unit	2122
(0	use as many she	ets as necess	sary)	Examiner Name	Not yet assigned
Sheet	1	of	1	Attorney Docket Number	B01.003

				U.S. PATENT DOCUMEN	TS	
Examiner Initials	Cite No.1	U.S. Patent Do	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
(KOX)	A	5,974,396		Anderson et al.	10/26/1999	
1/498	В	6,236,975	B1	Boe et al.	05/22/2001	
MA	С	6,298,348	B1	Eldering	10/02/2001	RECEIVED
-0	$\Box$					AUG 1 1 2003
						Technology Center 2100
						· · · · · · · · · · · · · · · · · · ·
				1		

		•		FOREIGN	PATENT DOCUMENTS			
			Foreign Patent Doca	ment		Date of Publication of	Pages, Columns, Lines,	Т
	Cite No.	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>il known</i> )	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Ľ
					מ	ECFIVE	U	L
						AUG 1 8 200	3	
						AUU 2		$\mathbf{L}$
···						DOLID 3	600	
			· · · · · · · · · · · · · · · · · · ·		G	ROUI 9		
								T
		1	^					

Date

Considered

18-06

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Unique citation designation number. \*See attached Kinds of U.S. Patent Documents. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.



Processors Approved for use through 7.01/2003. ONE 003: 40

Approved for use through 7.01/2003. ONE 003: 40

LIR Peac & Transferred Office; U.S. CEMATRIZET OF COMMITTEE COMMITT

Substitute	for form 1449A/F	то					
	RMATION	DISCLOSUR	ξΕ		Complete If Known		
STAT	STATEMENT BY APPLICANT			Application Number	10/008,995		
				Filing Date	November 5, 2001		
				First Named Inventor	Keil, Sev		
				Art Unit	3623		
				Examiner Name	Romain Jeanty		
a	ize as many shee	ts as necessary)					
Sheet		of	•	Attorney Docket No: J140U003US00 (B01.003)			

xaminer initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
1A		US-5041972	08/02/1991	Frost, W A.	
10		US-5124911	06/02/1992	Sack, Michael C.	
70		US-5583763	12/10/1996	Atcheson et al.	
		US-6029195	02/01/2000	Herz, Fredrich M.	
$\overline{\Lambda}$		US-6208989	03/27/2001	Dockter et al.	
KL		US-6321221	11/20/2001	Bieganski	

<del></del>	FOREIGN PATENT DOCUMENTS						
Examiner Initiats*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>e</sup>			

	OTHER	R DOCUMENTS - NON PATENT LITERATURE DOCUMENTS	
Examiner initials*	Cite No 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
100		"Active Buyers Guide", Active Decisions, Inc., (2002),	
XX		"Active Sales Assistant", Active Decisions, Inc., (2001),	
R		BAJAJ, AKILESH, "A Study of Senior Information Systems Mangers' Decision Models in Adopting New Computing Architectures", <u>Journal of the Association for Information Systems</u> , (June 2000),	
B		BAJAJ, AKILESH, "Factors Relevant to Senior Information Systems Managers' Decision to Adopt New Computing Paradigms: An Exploratory Study", [http://lost-contact.mit.edu/afs/net/project/afs32/andrew.cmu.edu/supa/wpapers/1998],	
120n		(1998), KISLINGER, GUNTER, "Die Anwendung des Teilnutzenwertmodells in der Martsegmentierung", Karl-Franzens-Universitaet Graz (Austria), (1990),	_
(A)		LAU, KIN-NAM, "Evaluating Consumer Preferences for Existing Multiattribute Products: A Non-Metric Approach", College of Business Administration, North Dakota State University, (1993),	

EXAMINER

DATE CONSIDERED